# IAP6 Rec'd PCT/PTO 0 7 JUN 2007



Robert Greene Sterne Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michele A. Cimbala Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee John M. Covert Robert C. Millonig Donald J. Featherstone Timothy J. Shea, Jr Michael V. Messinger Judith U. Kim Eldora L. Ellison Donald R. Banowit Peter A. Jackman Brian J. Del Buono Mark Fox Evens Vincent L. Capuano Elizabeth J. Haanes Michael D. Specht Kevin W. McCabe Edward W. Yee Crigil Lee Beaston Theodore A. Wood Joseph S. Ostroff Jason D. Eisenberg Tracy L. Muller John W. DeSantis LuAnne M. DeSantis Ann E. Summerfield Helene C. Carlson Cynthia M. Bouchez Timothy A. Doyle Gaby L. Longsworth Lori A. Gordon Laura A. Vogel Bryan S. Wade Bashir M.S. Ali Shannon A. Carroll Anbar F. Khal Michelle K. Holoubek Marsha A. Rose Scott A. Schaller Lei Zhou Young Tang Christopher J. Walsh W. Blake Coblentz\*
James J. Pohl
John T. Haran
Mark W. Ryglel
Michael R. Malek\*
Carla Ji-Eun Kim
Doyle A. Siever\*
Alexandra K. Pechhold
C. Matthew Rozier\*
Robert A. Schwartzman
Bryan L. Skelton\*
Ulrike Winkler

Registered Patent Agents • Karen R. Markowicz Matthew J. Dowd Katrina Yujian Pei Quach Julie A. Heider Mita Mukherjee Scott M. Woodhouse Peter A. Socarras Jeffrey K. Mills Danielle L. Letting Lori Brandes Steven C. Oppenheimer Aaron S. Lukas Gaurav Asthana

Of Counsel Edward J. Kessler Kenneth C. Bass III Marvin C. Guthrie

\*Admitted only in Maryland \*Admitted only in Virginia •Practice Limited to Federal Agencies

June 7, 2007

WRITER'S DIRECT NUMBER: (202) 772-8684
INTERNET ADDRESS:
DLETTING@SKGF.COM

Attn: Mail Stop PCT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 10/580,132; § 371 Date: March 2, 2007 (as corrected)

(U.S. Nat'l. Stage of Int'l Appl. No. PCT/KR2006/000071)

For: Dicarboxylic Acid Salt of Sibutramine

Inventors: CHOI et al.

Our Ref: 2511.0030000/JUK/DLL

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Request to Correct 35 U.S.C. § 371 Date;
- 2. Marked-up copy of Notice of Acceptance of Application Under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 indicating corrections noted in red ink;
- 3. Request for Corrected Official Filing Receipt;
- 4. Marked-up copy of Official Filing Receipt indicating corrections noted in red ink;
- 5. Acknowledgement Receipt indicating the USPTO received the Declaration on March 2, 2007; and
- 6. Return postcard.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLL.C.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skqf.com

Commissioner for Patents June 7, 2007 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Danielle L. Letting Agent for Applicants

Registration No. 56,971

JUK/DLL/bls Enclosures

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Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 9220

CHOI et al.

Art Unit: 1614

Appl. No.: 10/580,132

Examiner: To Be Assigned

§ 371 Date: March 2, 2007 (as corrected)

Atty. Docket: 2511.0030000/JUK/DLL

For: Dicarboxylic Acid Salt of

**Sibutramine** 

# Request to Correct 35 U.S.C. § 371 Date

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby submit a request for a correction of the 35 U.S.C. § 371 date and for a corrected Notice of Acceptance Under 35 U.S.C. § 371 and 37 C.F.R. § 1.495. The following Corrections to the Notice of Acceptance are requested:

In the Date of Receipt of 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) Requirements Section, please delete 05/02/2007 and insert --03/02/2007--.

In the Date of Completion of All 35 U.S.C. 371 Requirements Section, please delete 05/02/2007 and insert -- 03/02/2007--.

In the list of items received, Oath or Declaration, please delete 05/02/2007 and insert --03/02/2007--.

In the list of items received, Request for Immediate Examination, please delete 05/19/2006 and insert --03/02/2007--.

The USPTO has entered an incorrect 35 U.S.C. § 371 date in its records. Specifically, the USPTO has entered May 2, 2007. However, the correct 35 U.S.C. § 371 date is March 2, 2007. The USPTO has also entered the incorrect date of May 2, 2007 as the date the Oath or Declaration was filed. The correct date for the filing of the Declaration is March 2, 2007. In support of the correction of the Filing date of the Declaration, please see the enclosed copy of the acknowledgment receipt indicating that the USPTO received the Declaration on March 2, 2007.

Request for Immediate Examination was filed on March 2, 2007. However, the Notice of Acceptance lists the incorrect date of May 19, 2006. In support of the correction of the date of the Request for Immediate Examination, please see the enclosed copy of the acknowledgment receipt indicating that the USPTO received the transmittal letter, PTO Form 1390, (miscellaneous incoming letter) on March 2, 2007.

In support of the above requests, a photocopy of the Notice of Acceptance mailed May 10, 2007 is enclosed indicating the corrections noted in red ink.

It is requested that a corrected Notice of Acceptance be issued, and sent to the undersigned at the earliest possible time.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Danielle L. Letting

Agent for Applicants Registration No. 56,971

Date: (0-7-2007

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
FO. Box 1450
Alexandria, Vivinia 2211-1450

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/580,132 Kwang Do Choi 2511.0030000/JUK/DAK

INTERNATIONAL APPLICATION NO.

PCT/KR06/00071

26111 STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005 I.A. FILING DATE PRIORITY DATE
01/06/2006 01/06/2005

Date Mailed: 05/10/2007

#### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

03/02/2007

*03 |02 |20*07 . <del>05/02/2007</del>

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 05/19/2006
- English Translation of the IA filed on 05/19/2006
- Copy of the International Search Report filed on 05/19/2006
- Oath or Declaration filed on 05/02/2007 03/02/2001
- Request for Immediate Examination filed on +05/19/2006 03/00/2007
- U.S. Basic National Fees filed on 05/19/2006
- Assignment filed on 05/02/2007
- Power of Attorney filed on 05/02/2007

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

**PART 3 - OFFICE COPY** 

FORM PCT/DO/EO/903 (371 Acceptance Notice)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 9220

Examiner: To Be Assigned

CHOI et al.

Art Unit: 1614

Appl. No.: 10/580,132

§ 371 Date: March 2, 2007 (as corrected)

Atty. Docket: 2511.0030000/JUK/DLL

For: Dicarboxylic Acid Salt of

Sibutramine

# Request for Corrected Official Filing Receipt

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested:

In the Filing or 371(c) Date Section, please delete 05/02/2007 and insert --03/02/2007---

In support of the correction of the Filing or 371(c) Date Section, please see the enclosed acknowledgment receipt indicating that the USPTO received the Declaration on March 2, 2007.

In support of the above request, a marked-up copy of the instant Official Filing Receipt is enclosed to show the corrections noted in red ink.

It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Danielle L. Letting

Agent for Applicants Registration No. 56,971

Date: 6.7.2007

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Rox 1440 Alexandria, Wighia 22313-1450 www.uspto.gov

١	APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
•	10/580,132	-05/02/2007	1614	1030	2511.0030000/JUK/DAK	7	1

03/02/2007

**CONFIRMATION NO. 9220** 

26111 STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC20005 FILING RECEIPT

Date Mailed: 05/10/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mall to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (If appropriate).

#### Applicant(s)

Kwang Do Choi, Gyeonggi-do, KOREA, REPUBLIC OF; Yong Sik Youn, Gyeonggi-do, KOREA, REPUBLIC OF; Hea Ran Suh, Gyeonggi-do, KOREA, REPUBLIC OF; Dong Kwon Lim, Gyeonggi-do, KOREA, REPUBLIC OF; Eun Young Yang, Gyeonggi-do, KOREA, REPUBLIC OF; Jae Kyoung Ko, Incheon, KOREA, REPUBLIC OF; Chang Ju Kim, Gyeonggi-do, KOREA, REPUBLIC OF;

#### **Assignment For Published Patent Application**

CJ Corporation, Seoul, KOREA, REPUBLIC OF

Power of Attorney: The patent practitioners associated with Customer Number 26111

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/KR06/00071 01/06/2006

Foreign Applications

REPUBLIC OF KOREA 1020050001403 01/06/2005

If Required, Foreign Filing License Granted: 05/10/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/580,132

Projected Publication Date: 08/16/2007

Non-Publication Request: No

Early Publication Request: No

Title

Dicarboxylic acid salt of sibutramine

**Preliminary Class** 

514

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN

FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# **Acknowledgement Receipt**

The USPTO has received your submission at 20:21:36 Eastern Time on 02-MAR-2007.

\$ 730 fee paid by e-Filer via RAM with Confirmation Number: 1142.

eFiled Application Information			
EFS ID	1561720		
Application Number	10580132		
Confirmation Number	9220		
Title	Dicarboxylic acid salt of sibutramine		
First Named Inventor	Dong Kwon Lim		
Customer Number or Correspondence Address	26111		
Filed By	Paul Anthony Caivo		
Attorney Docket Number	2511.0030000/JUK/DAK		
Filing Date			
Receipt Date	02-MAR-2007		
Application Type	U.S. National Stage under 35 USC 371		

### **Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
25110030000DECLARATION.pdf	4	Oath or Declaration filed	144370 bytes	◆ PASS
25110030000PTO1390.pdf	3	Miscellaneous Incoming Letter	127887 bytes	♦ PASS
fee-info.pdf	2	Fee Worksheet (PTO-06)	8449 bytes	♦ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### If you need help:

• Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail <a href="mailto:EBC@uspto.gov">EBC@uspto.gov</a> for specific questions about Patent e-Filing.

- Send general questions about USPTO programs to the <u>USPTO Contact Center (UCC)</u>.
  If you experience technical difficulties or problems with this application, please report them via e-mail to <u>Electronic Business Support</u> or call 1 800-786-9199.